

jc639 U.S. PTO
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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390P11146
(maximum 12 characters)

First Named Inventor Richard Qian

Title: Creating and Managing Portable User Preferences for Personalization Media Consumption from Device to Device

Express Mail Label No. EL 906880639 US

ADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant Claims Small Entity Status. (37 CFR 1.27)
3. Specification (Total Pages 31)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets 8)
5. Oath or Declaration (Total Pages 5)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. Application Data Sheet. (37 CFR 1.76)
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on.
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 906880639 US
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June 29, 2001
Date of Deposit
Krista Mathison
Name of Person Mailing Correspondence
Krista Mathison
Signature
06/29/01
Date

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**

10. _____ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)

_____ b. Power of Attorney

11. _____ English Translation Document (if applicable)

12. _____ a. Information Disclosure Statement (IDS)/PTO-1449

_____ b. Copies of IDS Citations

13. _____ **Preliminary Amendment**

14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. _____ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

17. Other: Express Mail Certification

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation _____ Divisional _____ Continuation-in-part (CIP)
Of Prior Application No.: _____ Examiner _____ Group Art Unit _____

(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an
assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____
(or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME _____

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

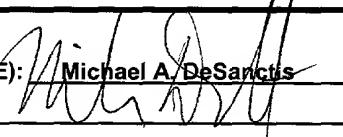
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Country U.S.A. TELEPHONE (303) 740-1980 FAX (303) 740-1980

Name (PRINT/TYPE): Michael A. DeSanctis Registration No.: 39,957

Signature:  Date: June 29, 2001

Serial/Patent No.: ********* Filing/Issue Date: **Concurrently Herewith**
Client: **Intel Corporation**

Title: **Creating and Managing Portable User Preference For Personalization**

Media Consumption from Device to Device

BSTZ File No. **42390P11146**

Date Mailed: **June 29, 2001**

Atty/Secty Initials: **MAD/AAJ/kam**

Docket Due Date: **June 30, 2001**

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (pgs.) Express Mail No **EL 906880639 US** Check No. **1659**
 Appeal Brief (pgs.) (in triplicate) Month(s) Extension of Time Amt: **\$1,051.00**
 Application - Utility (31 pgs., with cover and abstract) Information Disclosure Statement & PTO 149 (pgs.) Check No. _____
 Application - Rule 1.53(b) Continuation (pgs.) Issue Fee Transmittal, _____
 Application - Rule 1.53(b) Divisional (pgs.) Notice of Appeal _____
 Application - Rule 1.53(b) CIP (pgs.) Petition for Extension of Time _____
 Application - Rule 1.53(d) CPA Transmittal (pgs.) Petition for _____
 Application - Design (pgs.) Postcard _____
 Application - PCT (pgs.) Power of Attorney (pgs.)
 Application - Provisional (pgs.) Preliminary Amendment (pgs.)
 Assignment and Cover Sheet Reply Brief (pgs.)
 Certificate of Mailing Response to Notice of Missing Parts
 Declaration & POA (5 pgs.) Small Entity Declaration for Indep. Inventor/Small Business
 Disclos Doc & Oig & Cpy of Inventor Signed Letter (pgs.) Transmittal Letter, in duplicate
 Drawings: 8 # of sheets includes 8 figures Fee Transmittal, in duplicate
 Other: _____

EL 906880639 US

EXPRESS MAIL CERTIFICATE OF MAILING

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June 29, 2001

Date of Deposit

Krista Mathieson

Name of Person Mailing Correspondence

Krista Mathieson

Signature

June 29, 2001

Date

FEE TRANSMITTAL FOR FY 2001**TOTAL AMOUNT OF PAYMENT (\$)** \$ 1,090.00**Complete if Known:**Application No. *****Filing Date Concurrently HerewithFirst Named Inventor Richard J. QianGroup Art Unit Not Yet AssignedExaminer Name Not Yet AssignedAttorney Docket No. 42390P11146**METHOD OF PAYMENT** (check one)

[X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666Deposit Account Name

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[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

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 Credit Card 20231.
 Money Order
 Other

Date of Deposit June 29, 2001Name of Person Mailing Correspondence Kristen MathiesonSignature Kristen MathiesonDate 6/29/01**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	<u>\$710.00</u>
		Design application filing fee	<u></u>
		Plant filing fee	<u></u>
		Reissue filing fee	<u></u>
		Provisional application filing fee	<u></u>

SUBTOTAL (1) \$ 710.00**2. EXTRA CLAIM FEES**

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
30	5	- 20** = 10	X \$18.00	= \$180.00
		- 3** = 2	X \$80.00	= \$160.00
				=

Multiple Dependent

**Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
102	80	202
104	270	204
109	80	209
110	18	210
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 340.00**FEE CALCULATION (continued)**

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee
			or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			Non-English specification
			For filing a request for ex parte reexamination
			Requesting publication of SIR prior to
			Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after
			Examiner action
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	130	123	130
126	180	126	180
581	40	581	40
			Petition to institute a public use proceeding
			Petition to revive – unavoidable
			Petition to revive - unintentional
			Utility issue fee (or reissue)
			Design issue fee
			Plant issue fee
			Petitions to the Commissioner
			Petitions related to provisional applications
			Submission of Information Disclosure Stmt
			Recording each patent assignment per
			property (times number of properties)
146	710	246	355
			For filing a submission after final rejection
			(see 37 CFR 1.129(a))
149	710	249	355
			For each additional invention to be examined
			(see 37 CFR 1.129(b))
179	710	279	355
169	900	169	900
			Request for Continued Examination (RCE)
			Request for expedited examination of a design
			application

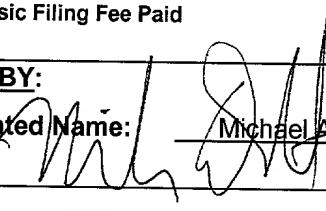
Other fee (specify) _____ \$40.00

Other fee (specify) _____ _____

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$ 40.00

SUBMITTED BY:

Typed or Printed Name: Michael A. DeSanctis

Signature:  Date: June 29, 2001

Reg. Number: 39,957 Telephone Number: 303-740-1980

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